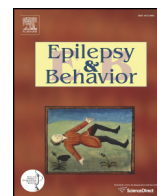




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## Proceedings of the Fourth International Workshop on Advances in Electroencephalography

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## ABSTRACT

The Fourth International Workshop on Advances in Electroencephalography (ECoG) convened in New Orleans, LA, on October 11–12, 2012. The proceedings of the workshop serves as an accurate record of the most contemporary clinical and experimental work on brain surface recording and represents the insights of a unique multidisciplinary ensemble of expert clinicians and scientists. Presentations covered a broad range of topics, including innovations in passive functional mapping, increased understanding of pathologic high-frequency oscillations, evolving sensor technologies, a human trial of ECoG-driven brain–machine interface, as well as fresh insights into brain electrical stimulation.

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## 1. Introduction

## A. Ritaccio

The Fourth International Workshop on Advances in Electroencephalography (ECoG) convened in New Orleans, Louisiana, in October 2012. Once again, the rapidly expanding repertoire of ECoG-based clinical and investigational roles was explicated by an international faculty of the most prolific experts in the field. Our bold keynote address by Prof. Nancy Kanwisher set the tone by extolling the virtues of ECoG in elucidating temporal causation and connectivity unsettled by functional MRI and diffusion tractography. This theme was dominant throughout both days of the workshop and across the represented clinical,

engineering, and neuroscience domains as established and novel ECoG applications were illuminated (Table 1). The proceedings of our gathering follow.

### 2. Keynote address: domain-specific and domain-general components of mind and brain

## N. Kanwisher

Functional magnetic resonance imaging (fMRI) research over the last 20 years has discovered a number of brain regions that are remarkably specific in the perceptual/cognitive operations they carry out (e.g., face recognition, understanding other minds, and sentence understanding). Each of these regions is present in approximately the same location in essentially every healthy brain; these regions are fundamental components of the human mind and brain.

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**Table 1**  
Established and novel ECoG applications.

Clinical
Ictal/interictal recording
Pathologic oscillation recording
Seizure detection/prediction
Seizure termination (electrical, thermal)
Seizure stimulation
Electrical stimulation mapping (ESM)
Passive real-time functional mapping
Research
Cognitive and systems neuroscience (sensorimotor, language, auditory, attention, visual)
Multimodal relationships (e.g., with fMRI, ESM)
Neuroprosthetics/brain–computer interface
Communication functions (language prediction and synthetic production)

Despite this important progress, three fundamental questions remain. First, what is the causal role of each of these regions in perception and cognition? Functional MRI cannot answer this question; it is necessary to intervene on a system to test its causal role. Second, what is the time course of engagement of each of these regions? Functional MRI has very low time resolution, hence confounding bottom-up processing with subsequent interareal interactions and feedback occurring hundreds of milliseconds to seconds later. Third, what is the structural connectivity of these regions? Diffusion tractography suffers from notorious ambiguities, and analyses of correlations in the time course of the blood oxygen level-dependent (BOLD) response have focused on temporal fluctuations that are very slow and unlikely to represent the full pattern of connectivity in the brain. I argue that intracranial recording and stimulation offer the most promising prospect yet of overcoming the inherent limitations of fMRI to make real progress in understanding the causal role, time course, and connectivity of brain regions that have been richly characterized by fMRI.

### 3. Basic ECoG physiology

#### 3.1. A useful adjunct in understanding the ECoG signal: spectral motifs

*K.J. Miller*

When examining an ECoG signal, a common approach is to isolate the power spectral densities (PSDs) of the electric potential and divide them into “bands.” Each of these bands is typically analyzed independently, and different frequency ranges are often ascribed distinct physiological interpretations (i.e., the theta, alpha, beta, or gamma band). In some cases, however, the power at each frequency may represent a superposition of underlying spectral motifs which each represent different electrophysiological phenomena.

While there are many potential ways to uncover and characterize these spectral motifs, one way that has been used is an orthogonal decomposition in frequency space. Consider, for example, the PSD measurement from a thumb-specific precentral site during movement of the thumb or the fingers and during rest, illustrated in Fig. 1B (subject 5 from Miller et al. [1]). There is a decrease in the amplitude of low-frequency power and a broadband increase in power above a “junction” in the PSD at ~35–40 Hz [2]. A common approach to understand power spectral changes would be to assign a low-frequency band (LFB: 8–32 Hz) to capture this decrease and a high-frequency band (HFB: 76–100 Hz) to capture the increase [3]. As shown in Fig. 1C, one can plot the movement and rest power in a 2-dimensional HFB–LFB feature space. Naive rotation of the data in this feature space using a principal component type of decomposition more plainly isolates thumb movement from other finger movements, as well as rest (1st principal spectral component, PSC), and perhaps represents the data in a space that more directly reflects the underlying physiology.

This concept can be extended beyond two 25-Hz bands and applied to a high-dimensional space where each dimension is the power at each Hz. The shape of the resulting PSCs may yield insight into the types of electrophysiological phenomena from which the PSDs are built. For the example in Fig. 1D, the first PSC, which robustly distinguishes thumb movement from rest, is flat across frequencies. This flat shape, extracted from log SD, indicates a multiplicative factor that is constant for all frequencies. Because this background shape has been shown to follow  $P \sim 1/f^\alpha$ , which is conserved during movement, this first PSC can be linked to increases and decreases in a stochastic process [4]. The second PSC is peaked at a particular frequency and reflects increases and decreases in the alpha/beta motor rhythm [1], whereas the third PSC is peaked in the theta range [5]. The PSD can be reconstructed from subsets of the PSCs to reveal these stochastic and rhythmic components more plainly (Figs. 1E and F).

This type of decomposition has also been used to generate more natural feature sets for the classification of words in a microelectrocorticographic recording during language production [6] and also to generate improved features for identification of motor imagery states in EEG [7]. Onton and Makeig [8] showed that similar PSD decompositions, using independent component analysis, were able to uncover unique motifs that could discriminate cognitive states from EEG measurement. We recommend that spectral decomposition be tried as an adjunct to classic frequency-band approaches both to increase understanding of the underlying signal and to generate more robust spectral features for classification.

#### 3.2. Basics of ECoG signal acquisition

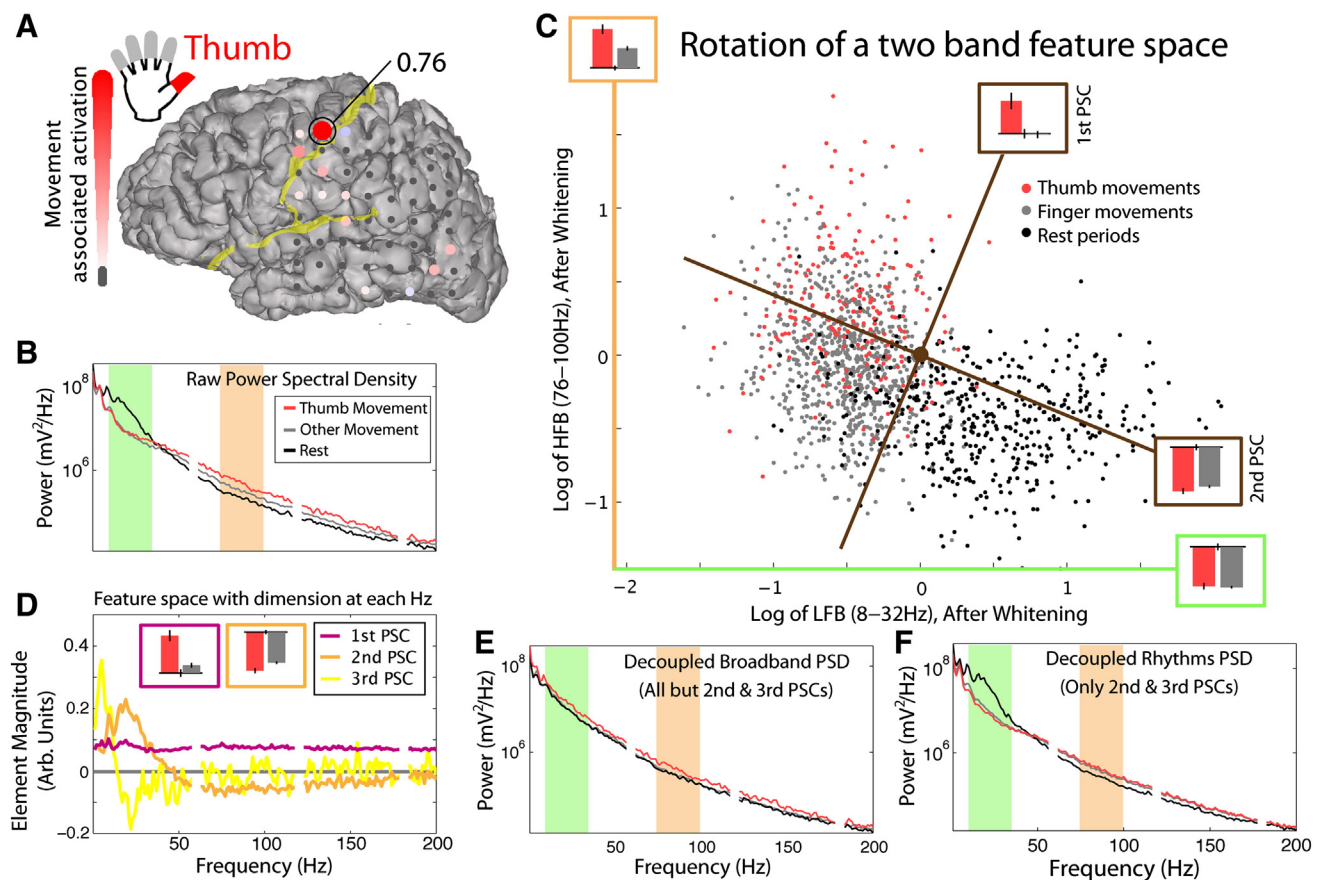
*P. Brunner*

Over the past 60 years, the use of ECoG in the presurgical evaluation of epilepsy has proliferated from its initial application at the Montreal Neurological Institute to widespread use around the world. Over the past two decades, it has become increasingly clear that, in addition to its important role in presurgical evaluation, ECoG also provides a unique window into human brain function [10–12].

Despite the resulting increasing interest in ECoG, the sensor technology has remained effectively unchanged. For example, the electrodes that are commonly used for clinical purposes are connected to an external biosignal amplifier through individual wires. Because these wires require an opening in the skull and skin and thus tether the subject to its environment, it is impracticable to implant a large number of electrodes. While this limitation does not substantially affect presurgical evaluation of epilepsy, which depends on time-consuming electrical stimulation of the brain, it has set distinct limits for our ability to study brain function at increasing spatial detail and coverage.

Recent efforts have begun to change this situation with the advent of biocompatible thin film sensors that can be manufactured cost-effectively to accommodate hundreds of electrodes [13]. The most advanced versions of these sensors can also incorporate flexible electronics to amplify and digitize the ECoG signals close to the source [14]. This allows for high spatial resolution (e.g., 1 mm) and wide cortical coverage. With the requisite approvals, this technology will create entirely new opportunities for studying the human brain.

In addition to the necessary improvements of the sensor, it has become increasingly clear that current processing methods do not take optimal advantage of the resolution and signal-to-noise ratio of ECoG signals. While it is widely known that there is substantial variability in ECoG signals across trials and across individuals and while it has become increasingly clear that important aspects of behavior are represented by complex brain signal processes rather than by individual brain signal features, current processing methods typically average across trials or individuals and usually only assess the statistical properties of individual brain signal features. These limitations of existing processing/statistical techniques are unfortunate because more complex procedures that can extract complex signal features and put them in



**Fig. 1.** Spectral decomposition in electrocorticography. (A) The distribution of broadband ECoG activity during thumb movement compared with rest in a single subject (color indicates  $r^2$  values, maximum 0.76 at circled site). Adapted from Miller et al. [1]. (B) PSD from circle site in (A), log scale on the y-axis. Green band: 8–32 Hz; orange band: 76–100 Hz (60-Hz line noise and harmonics excluded); gray denotes movements of the 2nd–5th digits. (C) Two-dimensional feature space for HFB–LFB log power. Insets on each axis denote mean of power (error bar:  $\pm 3$  SEM) during thumb (red) and finger (gray) movement, as well as rest (x-axis, error bar in the middle). Brown axes represent principal axes after singular value decomposition, with PSC projection weights, sorted by movement/rest shown in the insets on the axes. (D) Projection vector weights from the first 3 PSCs for decomposition using log power at each Hz. Insets show projection weights for PSC 1 (pink) and 2 (orange). See Miller et al. [9] for full description. (E and F) Reconstructed PSDs using subsets of the PSCs. (For interpretation of the references to color in this figure legend, the reader is referred to the web version of this article.)

relationship with each other have led to important new discoveries in other areas of research, such as computer vision. This approach requires advanced processing, machine learning, and statistical methods, as well as the ability to acquire and combine physiological (e.g., ECoG) imaging with behavioral (e.g., eye-gaze, motion) and nonphysiological (e.g., electrical cortical stimulation, ECS) imaging. Efforts to establish these techniques and to apply them to the neuroscientific study of ECoG have just begun.

The ongoing improvements in sensor and processing technologies have important implications not only for the continual advancement of our understanding of the human brain but also for an entirely new range of clinical applications that support the automated detection, localization, and intervention of cortical dysfunction and the mapping and interaction with distributed cortical function.

### 3.3. Basics of ECoG signal analysis

#### A. Gunduz

The last decade has seen an immense rise of interest in the decoding of brain states as measured through ECoG because of the fine spatial and temporal resolution and high fidelity that these signals offer. Signal analysis techniques provide the means to extract task-relevant ECoG features from background neural activity and have been instrumental in advancing our understanding of the cortical rhythms and asynchronous local activity of the human brain. This section introduces the basics

of ECoG signal analysis. Interested readers can find comprehensive reviews on electrophysiological signal analysis and modeling in the literature [15–17].

Analysis of ECoG signals can start during recording, with the visual inspection of incoming channels. Most data acquisition software will allow for visual filtering of signals, such as de-meaning for removal of DC components, high-pass filtering for drift removal, and notch filtering for line noise removal, all of which can be replicated offline. Artifacts isolated to single or few channels because of bad contact with the cortical surface or because of ictal or interictal activity should be omitted from further analyses. Artifacts common across channels can be removed by applying spatial filters. The most commonly used spatial filters are common average reference (CAR) and Laplacian filtering [3]. A CAR filter removes the spatial mean of all channels from the raw time series of each channel. Laplacian filters compute spatial means more locally, within the 4 or 8 neighbors of a channel. This neighborhood mean is then subtracted from the channel, and the process is repeated for each channel.

Characterization of neural correlates of behavior using ECoG relies on isolating its spectral subcomponents, mainly the rhythmic oscillations (which appear as peaks in power spectra and are spatially broad) and asynchronous local activity (which appears as spatially localized broadband modulations over spectral ranges greater than 70 Hz) [3]. Spectral estimation can be performed by means of band power computations at filter-bank outputs, fast Fourier transform

(FFT), or autoregressive (AR) modeling [17]. Band power computation is fairly straightforward and requires a simple filter design for the frequency band of interest. However, it is not practical during the exploratory stages of data analysis when the frequency ranges of interest are unknown, and multiband tracking is essential. The fast Fourier transform converts the time series ECoG signal into the frequency domain where its magnitude reveals the spectral content of the signal. Autoregressive modeling can provide higher spectral resolution compared to the fast Fourier transform. However, it is a parametric model in which the accuracy of the spectral estimate relies on the model order selected by the investigator. McFarland and Wolpaw [18] discuss optimal AR model order selection.

Electrocorticography spectral features that are task relevant can be identified by contrasting the spectra computed during behavioral/cognitive engagement with baseline spectra. The  $r^2$  measure, also known as the coefficient of determination, provides a statistical comparison metric of how strongly the means of the spectral features for the two task conditions differ in relation to the variance of the data [17]. High  $r^2$  values suggest that the channel and spectral range at hand significantly modulate during the task. This, in turn, suggests that behavioral engagement may be inferred from ECoG signals.

#### 4. Clinical ECoG domains

##### 4.1. Electrocorticography: clinical primer

*L.J. Hirsch*

Intracranial electroencephalogram (EEG) recordings are indicated for the surgical treatment of refractory epilepsy when other tests to identify the seizure focus are discordant or inconclusive, when there is no MRI abnormality (except select medial temporal cases), when the seizure onset zone abuts eloquent cortex (including many lesional cases), when there is dual pathology (e.g., hippocampal sclerosis plus a lesion), and occasionally in other scenarios. Although some cortical mapping and identification of the irritative (“spiking”) zone can be done via intraoperative ECoG, implanted electrodes are usually required to identify the seizure onset zone. Complete removal of the seizure onset zone is associated with a greater chance of seizure freedom, even after accounting for lesion resection [19].

There is a lack of good evidence that intraoperative ECoG can help guide neocortical resection during temporal lobectomy in patients with mesial temporal sclerosis; one study suggested that it may be beneficial in guiding the posterior extent of hippocampal resection. Intraoperative ECoG may be adequate to guide resection in select cases with focal cortical dysplasia if continuous spiking is seen, as occurs in about two-thirds of cases [20]. There is no proven use for activation techniques, determination of afterdischarge thresholds, or elicitation of habitual auras/seizures in surgical planning, and there is some evidence that all of these can be misleading.

Complications of implanted intracranial electrodes are fewer than 10% and are mostly transient, with permanent deficits in <1–2% and rare mortality [21]. Risks are higher with greater numbers of implanted electrodes, larger subdural grids, and peri-Rolandic location. The relative utility of subdural strips/grids, depth electrodes, stereo-EEG, and combinations of these is unknown. Recent gamma activation mapping techniques may speed up functional mapping, including the determination of broad language networks, either intra- or extraoperatively [22].

Mesial temporal onset seizures on depth electrode recordings often begin with rhythmic spiking at <2 Hz or low-voltage 10- to 16-Hz activity. Unfortunately, spread to the hippocampus can look identical. Well-localized neocortical onsets often start with low-amplitude fast activity, typically >16 Hz, often >30 Hz. Many seizure onsets are difficult to localize to a discrete area and may involve wider epileptogenic networks, such as the medial temporal/limbic network, the medial occipital/lateral temporal network, and the superior parietal/medial frontal network [23].

High-frequency oscillations (HFOs; ripples: 80–250 Hz and fast ripples: 250–600 Hz) may help localize epileptogenic tissue [24,25]. Fast ripples seem to be more specific than ripples for seizure onset zones, especially when associated with interictal spikes [26]. High-frequency oscillations may be more localizing than traditional interictal epileptiform discharges [24,25]. Identification of HFOs requires high sampling rates (preferably at least 2000 Hz) and different filter and “paper speed” settings. One small recent study suggested that single-pulse stimulation-induced fast ripples were suggestive of the epileptogenic zone [27]. Devices are now available for recording chronic ambulatory intracranial EEG, although only in research trials to date. Such devices may allow seizure prediction and warning, which would improve patient safety and quality of life, as well as allow responsive treatment for seizure prevention (e.g., via stimulation, cooling, or medications). Electrocorticographic signal analysis is also useful for brain–computer interfaces (see Section 5).

##### 4.2. Functional mapping using electrical stimulation

*J. Parvizi*

Electrical brain stimulation (EBS) consists of delivering electrical pulses to a given region of the brain, sometimes in a deep subcortical nucleus such as the subthalamic nuclei, in which case the procedure is traditionally known as deep brain stimulation (DBS). Others have also used the term direct cortical stimulation (DCS) for electrical charge delivery in a cortical region. A problem with these terms is that they were coined at a time when the mechanism of action of electrical charge delivery to the brain was thought to be a pure excitation of the targeted area. This is no longer the case. Although we still do not know how electrical charge delivered to a multilayered cortical structure behaves, we have reason to believe from subcortical studies that the delivered electrical buzz (depending on its frequency) modulates or perturbs the function of the cellular circuitry and its connections [28].

Bipolar currents (as low as 10 mA) delivered between two adjacent electrodes might affect several thousands of pyramidal cells [29] whose axons are more excitable than their cell bodies [30]. Moreover, there is evidence that the electrical charge is taken up by the afferent and efferent axons, and thus, the electricity propagates anti- and orthodromically to wherever these fibers are connected [31,32]. Thus, a plausible hypothesis is that the electrical charge delivery in a cortical or subcortical site leads to the modulation of the function of the targeted neurons as well as the interconnected anatomic structures. For instance, electrical stimulation of V1 is known to change the activity of neural structures connected with the V1 area (e.g., areas V2, V3, and MT) [33].

Since each region of the brain has its selective neuroanatomic connectivity with cortical and subcortical structures, it is reasonable to assume that the effect of EBS may remain “localized” within that specific neuroanatomic network. Although this issue has not been systematically studied and despite the current critical views about EBS [34], a large body of clinical observations [35] suggests that the perceptual and behavioral effects of the electrical stimulation remain impressively selective (e.g., see selective perceptual changes with cortical [36] and subcortical [37] stimulations). The percept that is generated with stimulation of a target brain region is no longer reproducible with stimulation of the brain tissue a few millimeters away. In this sense, EBS could be seen as an electrical modulation of a selective neuroanatomic network, rather than an injection of electrical pulses into a diffuse brain space.

This interpretation of EBS fits well with the notions that brain functions are distributed in a set of interconnected neural structures and that EBS alters the function of a distributed, yet anatomically selective, network. Despite the pervasive corticocentrism in modern neurosciences [38], it is possible that some of the higher cognitive changes induced with cortical EBS might be due to the propagation of electrical pulses to its subcortical rather than cortical targets.

### 4.3. Seizure mechanisms, microelectrocorticography, and therapies in epilepsy

B. Litt

The basic principles for nonmedication therapies for epilepsy, particularly surgery and devices, were established by Wilder Penfield and Herbert Jasper in their landmark textbook, *Epilepsy and the Functional Anatomy of the Human Brain*, published first in 1954 [39]. In this text, the authors proposed that there are generators of seizures that can be localized both electrically and according to the clinical symptoms, or “semiology,” associated with these discrete events. Over the past 10 years, there has been a dramatic change in our understanding of these events, ranging from the identification of high-frequency biomarkers of epileptic networks, ripples, fast ripples, and microseizures [24,40] to functional circuits in the brain that can be modulated by implantable devices both open and closed loop [41,42]. Recent studies have looked at these events at a multiscale level, identifying populations of single cells or units that are activated [43,44], unchanged, or suppressed as seizures arise, up to the level of chronically implanted standard ECoG electrodes that can be used to forecast seizures with meaningful statistical significance more than 30 min prior to seizure onset [45]. These advances are the result of an appreciation that mapping neuronal networks involved in seizure generation will be essential for improving therapy. In addition, they are potent drivers of new technology to make practical multiscale intracranial EEG systems a reality.

Technological advances will be required to exploit our rapidly expanding knowledge of the importance of epileptic microdomains on seizure generation and “epileptogenesis”, the process wherein the brain develops epilepsy after an injury. These technological advances fall into three major categories: high-resolution electrode systems; new machine learning, statistical, and computer science tools to handle and interpret “big data” associated with these systems; and new implantable device technologies to exploit these high-resolution data. Progress has been incremental but steady. New flexible passive and active electrode systems are making high-resolution recording over clinically significant regions—tens of square centimeters of cortical surface—tractable [14]. Cloud-based computing and data-sharing platforms, along with unsupervised learning, are taking human bias and variability out of data analysis and making terabytes of data accessible to new algorithms for classification. Finally, creative new implantable devices that telemeter out continuous ECoG and, eventually, microelectrocorticographic signals are already recording brain activity humans and canines with epilepsy for periods of years [45,46].

These advances, coupled with a growing understanding of neural circuitry from tools such as optogenetics and two-photon microscopy, are rapidly generating more data and possibilities to find new surgical and device therapies at an impressive rate. The key to leveraging these advances to treat patients will be sharing technological advancements as well as data, algorithms, and techniques among multiple disciplines. The future appears bright for this field, although it will likely require steady encouragement from funding agencies to emphasize teamwork and scientific goals rather than individual accomplishment.

### 4.4. Application of ECoG advances

P. Brunner, N.E. Crone, A. Ritaccio

Potential clinical use of ECoG in preoperative functional mapping has always motivated its development by neurologists and neurosurgeons caring for patients in whom these recordings are necessary. Although electrocortical stimulation mapping (ESM) is still the de facto gold standard for predicting postresection neurological impairments, ECoG has important practical advantages over ESM that make it attractive in clinical settings [47]. These include the avoidance of seizures and pain triggered by electrical stimulation and the ability to assess the function of all recording electrodes simultaneously, potentially reducing the time needed for comprehensive brain mapping.

Despite these advantages, however, ECoG has not been widely used for clinical purposes. One reason has been a lack of consensus about which components of ECoG signals serve as the best index of task-related cortical activation. Although cognitive neurophysiologists studying human brain function have successfully used phase-locked (e.g., event-related potentials) and nonphase-locked signal components in a variety of frequency bands, most recent studies have focused on task-related power modulations in high gamma frequencies (~60–200 Hz) [48].

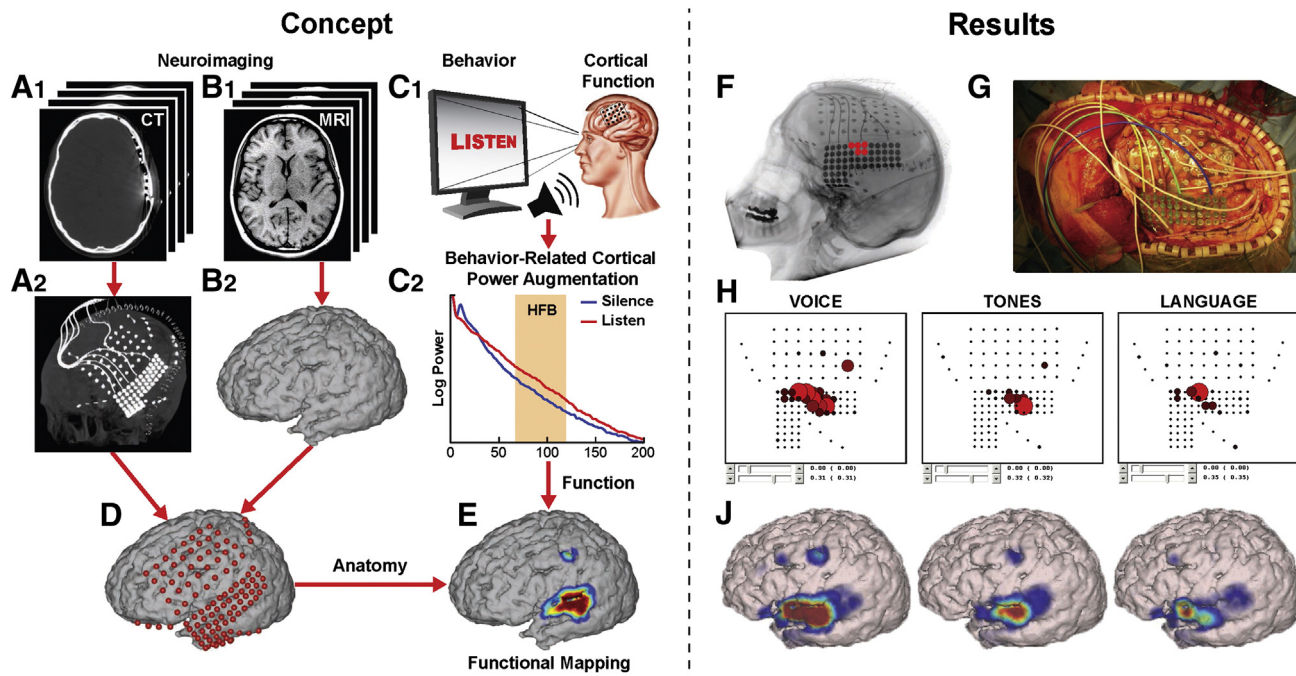
Perhaps the most important reason why ECoG has not been adopted for clinical use is the level of technical difficulty and the lack of immediacy in its implementation. Furthermore, until recently, analyses of task-related ECoG signal changes were performed offline, after the completion of testing, lacking the immediate feedback which clinicians are accustomed to during ESM. Over the past several years, application of brain–computer interfacing (BCI) techniques to the arena of real-time functional mapping in the clinical domain has proved extremely fruitful in the evolution of a passive real-time ECoG mapping alternative to ESM. Specifically, the group at the Wadsworth Center/Albany Medical College has been developing a novel functional mapping procedure based on a new detection algorithm called SIGFRIED (SIGnal modeling For Real-time Identification and Event Detection) [49,50], which is incorporated into our general-purpose BCI software called BCI2000 [51]. Together with appropriate signal acquisition hardware, this procedure interprets, without configuration by an expert and at the patient's bedside, changes in ECoG signals that are passively recorded from electrode grids already implanted in the patient for clinical reasons. Within minutes, this novel method identifies, on a 2- or 3-dimensional topographical display that is updated in real time as the patient performs different tasks, those cortical locations whose activity changes in response to the task (see Fig. 2 for the results of our mapping in one patient).

The concept of our real-time functional brain mapping procedure is illustrated in the left panel of Fig. 2. Prior to functional mapping, we acquire postoperative CT scans (A1) and preoperative structural MRI scans (B1). From these scans, we reconstruct the grid position (A2) and cortical surface (B2), which provides a subject-specific anatomic model (D) for our functional mapping technique (E). At the bedside, we engage the subject in different tasks, such as auditory stimulation (C1), which modulates brain signals (C2) in the gamma band (70–110 Hz). BCI2000 software applies the SIGFRIED method to detect these task-related changes and maps the results in real time onto the subject-specific anatomic model (E).

In the right panel of Fig. 2, we present exemplary results from one subject who was implanted over the left hemisphere with 120 electrocorticographic electrodes for the purpose of functional brain mapping and for localizing epileptic foci. The location and duration of the implantation were solely determined by clinical criteria. A lateral X-ray (F) and an operative photograph (G) depict the configuration of two grids and three strips.

In the example shown in H and J, we presented the subject with voice and tone stimuli that induced cortical power changes in the gamma band. To identify brain regions related to receptive language, the software statistically contrasted the brain signal changes induced by tones with those induced by voice stimuli. The 2-dimensional interface to the investigator (H) presented functional activations in real time using a topographical interface that represents the electrode grid. The interface contained a display of cortical activation at each location for each task condition (i.e., voice, tones, or language). Each display contained one circle at each electrode's location. The size of each circle and its tint was proportional to the magnitude of cortical activation. The 3-dimensional interface to the investigator (J) presented the same functional information in real time on the patient-specific anatomic model. The results for cortical stimulation (ECS) mapping of receptive language function (F, red) are congruent with those achieved using our passive SIGFRIED-based method (H/J).

Because our procedure rapidly, accurately, and safely maps functional cortex, it has the potential for widespread integration in resective



**Fig. 2.** The left panel illustrates the concept and workflow of real-time functional brain mapping. BCI2000 software applies the SIGFRIED method to detect task-related changes and maps results in real time onto the subject-specific anatomical model (E). The right panel presents exemplary results from one subject. See text for detailed explanation.

brain surgery protocols and may prove uniquely useful in the pediatric population in which electrical stimulation has numerous operational and physiological barriers. SIGFRIED-based mapping is in active use in several epilepsy surgery programs as an adjunct to conventional ESM methods, serving as a comparator as well as a primer to electrical mapping to enhance efficiency in choosing “eloquent” sites early in mapping paradigms. The latter has foreshortened the time-consuming aspects of ESM in chronic and operative settings.

To date, together with our collaborators, we have validated the efficacy of our new method for functional mapping in three studies with adult and pediatric patients [22] at the bedside and in intraoperative scenarios [52]. We also showcased our method in several relevant conferences and in four dedicated workshops on ECoG organized by our group. Finally, we provided our prototype to several clinics in the USA and in Europe and licensed the technology to a corporate partner. The initial version of the resulting product is being rolled out to clinical testing (cortIQ/g.tec medical engineering).

## 5. Integration of ECoG research

### 5.1. Grouped analysis and multimodal comparisons of ECoG data

*N. Tandon*

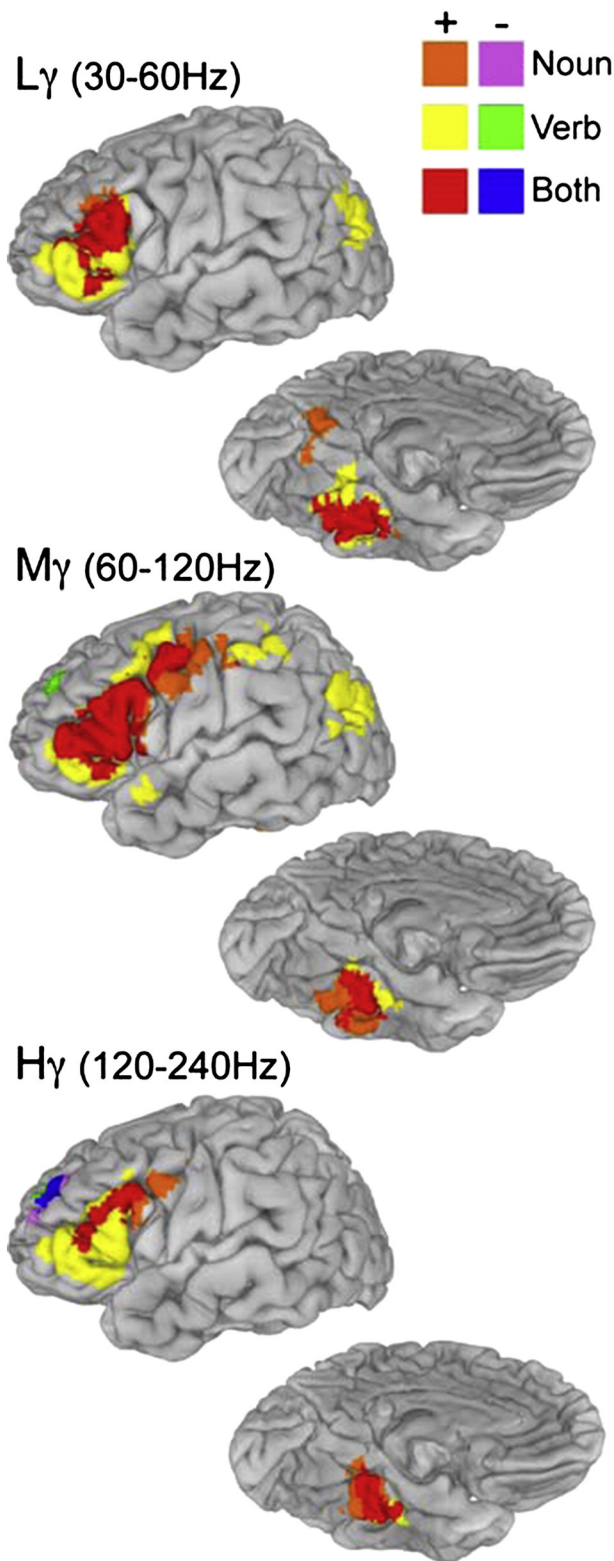
Electrocorticography yields neural recordings of unparalleled spatio-temporal resolution that can provide novel insights into human cognition [53–55]. These characteristics of ECoG allow for intermodal comparisons and for evaluating functional cerebral connectivity [56]. Yet, for ECoG to contribute meaningfully to the generation of broad-field, high-resolution brain activity maps, novel tools are needed for individual data representation and grouped analyses [57].

Using three-dimensional mesh models to describe cortical surfaces, and intraoperative photographs, we are able to represent subdural electrodes (SDEs) precisely on individual cortical surfaces. A recursive grid partitioning technique [58] allows for rapid localization and display of SDEs. Additionally, parcellation of the cortical surface allows for anatomic labeling of the recording sites and facilitates grouped comparisons. Implantation of SDEs is dictated by clinical considerations; coverage is sparse and variable across individuals. To circumvent the sparse sampling

problem, we used large sample sizes and developed a statistically robust analytic technique called mixed effects meta-analysis (MEMA) to correct for variations in the effect size of the recorded signal both within and across subjects [55]. We applied this coregistration and analytical method on ECoG data from a group of 19 patients (1942 SDEs) to examine broadly disseminated cortical networks during retrieval of distinct lexical categories. Both noun and verb generation evoked overlapping, yet distinct nonhierarchical processes favoring ventral and dorsal visual streams, respectively. Notable differences in activity patterns were observed in Broca’s area and superior lateral-occipital regions (verb > noun) and in the parahippocampal and fusiform cortices (noun > verb) (Fig. 3). Comparisons with fMRI results yielded strong correlation between BOLD signal and gamma power and an independent estimate of group size (> 13) needed for fMRI studies of cognition. These findings imply parallel, lexical category-specific processes; reconcile discrepancies between lesional and functional imaging studies; and illustrate the power and utility of grouped ECoG analyses.

Additionally, the ability to precisely colocalize ECoG data with individual anatomy enables comparison with other modalities. Comparing activity measured by ECoG with that measured by fMRI [59–61], we found that power in the midgamma band (60–120 Hz) correlates positively and power in the beta band (13–30 Hz) correlates negatively with the BOLD signal. Importantly, the location (i.e., lobe) of the recording site modulates the relationship between ECoG and the observed fMRI response, while the type of language task does not. Across all brain regions, ECoG activity in the gamma and beta bands explains about 22% of the variance of the fMRI response, but if the lobar location is considered, 28% of the variance can be explained. This finding carries implications for regional modeling of the hemodynamic response function and may be an essential prelude to interregional fMRI comparisons.

We have also made a comparison between diffusion tensor imaging (DTI) tractography and ECoG [62] by developing a processing stream in which fiber tracts near SDEs showing task-related functional responses are isolated to explore structural networks related to working memory maintenance. Such ECoG-constrained tractography may reveal structural connectivity patterns to help better understand the patterns of functional connectivity determined from ECoG.



**Fig. 3.** A conjunction analysis and representation of group verb and noun naming versus scrambled results ( $n = 19$ ) thresholded at a corrected  $p < 0.05$  and visualized to identify regions active during either one or both tasks.

## 5.2. Cortical pattern decoding of complex motor behaviors

N. Ramsey

Electrocorticography is one of the tools available for furthering our understanding of human brain function, as is the more widely applicable fMRI technique. Whereas ECoG has superior temporal and spectral

information on neuronal activity, it is severely limited in the coverage of gray matter. Electrodes measure electrical signal from their immediate vicinity (signal drops off by the square of the distance or more), leaving the tissue between electrodes unseen. Standard clinical grids sample no more than 4% of the surface underneath the grid. Functional MRI, on the other hand, measures electrical signal from the entire brain, at resolutions as high as  $10 \text{ mm}^3$  (2-mm isotropic cubes of tissue). The BOLD signal is an indirect measure of neuronal activity since it measures hemodynamic responses to changes in local metabolic demand. The sluggish response (lasting 2–16 s) results in poor temporal resolution and does not distinguish the spectral components of the electrophysiological signal. Moreover, the BOLD signal is confounded by vascular properties unrelated to neuronal activity [63–65]. In recent studies, however, we have brought these two functional imaging modalities closer together by using ultrahigh magnetic fields (7 T and higher) that reduce the vascular artifacts [66] and reveal a tight relationship between electrophysiology and BOLD signal.

We investigated the relationship between ECoG signal and BOLD signal in the same individuals (patients with epilepsy) in terms of signal amplitude and spatial distribution. Using high-density grids (3-mm electrode spacing) on the hand region of the sensorimotor cortex, we measured signal amplitude at increasing rates of hand movement. The fMRI signal, obtained with the same paradigm but before surgical grid implantation and coregistered in space to the grids, saturated at rates higher than 0.5 Hz, as expected [64]. This apparent nonlinearity of the neurovascular coupling is regarded as a feature of the cerebrovascular system and a limitation of the utility of fMRI. Surprisingly, the ECoG signal, notably the power in the 65- to 95-Hz gamma band, which has been shown to correlate best with BOLD [67,68], was also affected by movement rate: at 1 Hz and higher, the amplitude of the gamma response decreased by almost 50% [69]. The apparent deviation from linearity of the BOLD response was explained for almost 80% by the newly found effect of rate on the gamma response [70]. We also examined the spatial pattern of activity associated with movement of separate fingers (thumb, index, and little fingers). Here, we found that finger representation was highly detailed for ECoG (adjacent electrodes responded differently to different fingers) as well as for BOLD. Moreover, with both data sets coregistered, the spatial patterns correlated significantly between modalities (unpublished results). These results indicate that BOLD imaging at 7 T yields activation patterns that map surprisingly well onto activity maps obtained from ECoG.

With the close match between ECoG and 7-T fMRI, complementary properties constitute a powerful combination. Human brain function is increasingly viewed as stemming from the local and global networks of neuronal ensembles (millimeter scale) and brain regions (centimeter scale and up), respectively. Of particular interest are the local networks, the full exploration of which has not been possible with the available imaging techniques. Now, with the 7-T systems available and the emerging high-density ECoG grids, local networks can be investigated in great detail. Topographical organization can be explored and mapped with 7-T systems in healthy volunteers, and well-placed high-density grids in patients with epilepsy can subsequently elucidate the temporal and spectral features of the local networks.

We adopted this approach to investigate sensorimotor representation of hand gestures (sign language) and speech. Both serve communication and are of interest for intracranial brain-computer interface (BCI) decoding for paralyzed people. Functional MRI of gestures and for phoneme generation revealed a well-defined pattern of activity on M1 and S1, with little evidence of different patterns for different signs or phonemes. However, classification of the individual gestures was quite high (>65% correct, chance level: 25%), indicating that the magnitude of activity was significantly and consistently different across the network for each gesture. Classification results were comparable in two patients with ECoG grids (using 65–95 Hz of broadband power, >80% correct). Phoneme generation could not be classified well with fMRI (<40% correct, chance level: 25%), but

with ECoG, good classification was achieved by adding temporal features (>70%). These results suggest that decoding of silent speech and attempted sign language for BCI may be feasible. It also shows the advantage of using 7-T fMRI to identify candidate brain regions for BCI.

In summary, BOLD imaging at ultrahigh magnetic fields and high-density ECoG yield similar activity patterns in the human sensorimotor cortex at a scale that appears to match the topographical organization. The studies described above suggest that higher density grids may still capture more information, since fMRI displays spatial patterns at a submillimeter scale [71]. The sensorimotor findings bear relevance for the choice of sensors for decoding sign language and silent speech with intracranial BCI.

### 5.3. Bidirectional electrocorticographic brain–computer interface

#### D. Moran

Bidirectional BCIs allow a user not only to control an external device via cortical recordings but also to receive feedback (e.g., sense of touch) via cortical stimulation. Unfortunately, the optimal locations for recording a cortical control signal (primary motor cortex) and providing sensory stimulation (primary sensory cortex) are located right next to each other in the brain. Given that typical cortical stimulation levels (volts) are six orders of magnitude larger than cortical recording levels (microvolts), it is very difficult to simultaneously stimulate and record (i.e., concurrent bidirectional BCI) given the large stimulation artifact. One way to deal with this problem is to design a stimulation waveform that has a very different frequency content from the recorded cortical control signal and use filtering techniques to remove the unwanted artifact. Unfortunately, the most effective stimulation patterns have frequency content in the single-unit recording spectrum.

Electrocorticography-based BCIs have an advantage over single-unit-based BCIs in that their signals are an order of magnitude lower in frequency content. By designing and building a custom preamp with a steep (8th order) hardware-based low-pass filter (100-Hz cutoff), we were able to concurrently record high-fidelity microECoG activity over the primary motor cortex while providing a salient and effective percept in the primary sensory cortex via electrocortical stimulation. Recently, we trained a monkey using a forced-choice, two-interval task to control a two-dimensional cursor via ECoG-based signals while concurrently receiving electrocortical stimulation that cued the animal to the desired target. The combination of ECoG-based recordings with electrocortical stimulation provides a viable solution to true concurrent bidirectional BCIs.

### 5.4. The initial experience with an electrocorticographic brain–computer interface in an individual with tetraplegia

#### W. Wang

Studies in able-bodied individuals undergoing presurgical brain mapping [11,72] and studies in nonhuman primates [73,74] have demonstrated successful real-time control of assistive devices using ECoG signals. One question of both clinical and scientific importance is whether ECoG-based BCI control can be achieved in individuals with chronic paralysis; a second question is whether such BCI control can be retained over an extended period of time. In this study, we recruited an individual with tetraplegia caused by a complete cervical spinal cord injury [75]. The study followed all guidelines of human subject research, and informed consent was obtained before the initiation of any research procedures. A high-density ECoG grid with 32 mesoscale disk electrodes (2 mm in diameter and 4-mm spacing; Fig. 4) was implanted over the left sensorimotor cortex in the study participant for 28 days. We first conducted open-loop motor screening tasks where the subject attempted to perform various hand and arm movements. We observed that cortical representation of arm and hand movement persists despite chronic paralysis

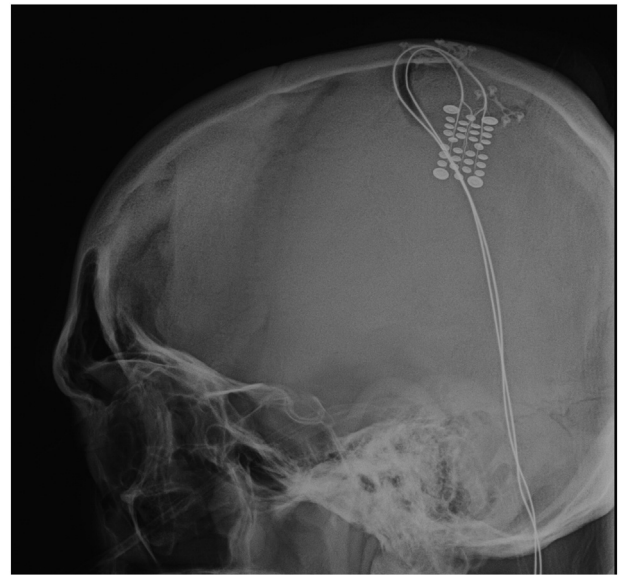


Fig. 4. Postoperative X-ray image showing the high-density mesoscale ECoG electrode grid implanted in an individual with tetraplegia.

and that its spatial, temporal, and spectral patterns closely mimic those that were observed in able-bodied individuals [3,10,76].

The subject achieved reliable ECoG control of two- and three-dimensional cursor movements in less than 2 weeks and demonstrated preliminary control of a dexterous prosthetic arm [75]. This study has several characteristics worth mentioning. First, a significant portion of the ECoG grid covered the somatosensory cortex. Thus the somatosensory cortex, classically thought to be an input hub for processing sensory stimuli, was “repurposed” to act as an output hub for generating the motor control signal for BCI devices. Even though the participant has a complete spinal cord injury, he was able to reliably modulate the somatosensory cortical activity for BCI control. Second, this study was able to reuse the neural decoder across different days of BCI testing [77,78]; i.e., each testing session always started with the decoder used in the last session. This approach has several benefits: a) from a practical clinical use perspective, this greatly reduces the system setup time, and it is essentially “plug-and-go”; b) from a user-training perspective, a constant decoder across training sessions can facilitate incremental learning across days in the BCI user; and c) from a BCI learning research perspective, this allows researchers to assess the retention of learned BCI control skills, which has not been characterized for BCI operation. Specifically, in the current study, the subject demonstrated comparable performance using a neural decoder learned in a previous BCI training session, and the retention of this BCI control skill spanned multiple days even with a 2-day break in between. Motivated by the short-term human study, we conducted a similar ECoG-based BCI study in a nonhuman primate [79]. The stability of the mesoscale ECoG recording made it possible for us to use a stable neural decoder without recalibration for a 4-week period, and the subject again showed the capability to retain the learned BCI control skills. Taken together, the initial evidence presented here demonstrated the clinical feasibility of BCI operation based on mesoscale ECoG, as well as retention of the BCI control skill over days and weeks.

## 6. Advances in engineering

### 6.1. Emerging sensor technology for microelectrocorticography

#### T.J. Richner, J. Williams

Electrocorticography has started to gain broader acceptance in the BCI community, and as a result, there continues to be a push toward smaller electrodes, particularly those in the micron range, termed



microECoG [13]. These small-scale surface electrodes have seen utility in clinical studies [80] and have started to emerge in BCI applications as well [81,82], but there are a number of outstanding questions that remain regarding their long-term performance.

One of the lingering questions is how the immune reaction to these devices differs from traditional penetrating electrodes [83,84]. To start addressing this question, we began to investigate the reaction of the meningeal tissues to the continuing presence of the device. Since these reactions are primarily at the surface of the brain, we employed window imaging techniques that allow us to look longitudinally at the reaction over time [85]. We recently showed that by simply adding fenestrations to the electrode-insulating layer, tissues can be elicited to grow through the device substrate [85]. We further developed “mesh electrodes” that have dielectric insulation only around individual traces, which results in a minimal electrode substrate footprint. Our initial results suggest that decreasing the areal density of material that is implanted in the brain decreases the meningeal response to the presence of the device. We also started to integrate microfluidic channels into our microECoG devices. One of the first applications of this approach is to use microfluidic delivery of cooled fluid to modulate the temperature of the cortical surface. Taking advantage of the laminar flow properties of microfluidic channels, we can selectively cool portions of the cortex in a temporally dynamic fashion. The microfluidic channels are also optically clear, which allows for simultaneous imaging, fluid delivery, and electrophysiological recording.

In the push to integrate imaging and optical stimulation, we developed mouse-scaled devices on a transparent polymer, parylene C. By implanting these arrays under a cranial window in optogenetic mice, it is possible to photostimulate the cortex next to the microECoG array. This approach has enabled us to test the spatial, temporal, and spectral aspects of cortical activation and microECoG localization. Optogenetics provides a cell type-specific method to learn more about the generation of the microECoG signal, and it provides a method to test new electrode arrays. Since optogenetic stimulation creates highly repeatable, spatially and temporally defined neural signals, this platform could be used to accurately compare different microECoG designs. Optogenetics and microECoG have potential applications in the study of a number of neurological diseases (such as epilepsy) and as a bidirectional brain–computer interface.

## 7. Perspectives on ECoG research and applications/conclusion

### G. Schalk

Electrocorticography is the technique of interrogating the brain using electrodes that are placed subdurally or epidurally. Electrocorticography has been used for decades for select clinical purposes—most commonly to identify functional and epileptic brain areas in people with epilepsy—and occasionally for research. The important role of ECoG for basic research and its potential to create a new range of clinical applications have long been greatly underappreciated. Research over the past several years, including the work summarized in this article, has been changing this situation. While ECoG is currently, and will remain for the foreseeable future, used less prevalently than other types of imaging, its unique qualities have become widely recognized by scientists engaged in basic and translational research. Basic research suggests that ECoG can elucidate brain function in ways that cannot be readily achieved using other imaging modalities, and translational research is producing exciting new ECoG-based applications that are already becoming available in the clinic. With expected further improvements in signal acquisition and analysis, ECoG is likely to expand its utility as an important technique for characterizing normal and abnormal brain function.

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